



02-15-01

NEW UNITED STATES UTILITY PATENT APPLICATION  
under 37 C.F.R. 1.53(b)

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Atty. Docket No. 05313.00003

Director of the U.S. Patent and Trademark Office  
Box Patent Applications  
Washington, D.C. 20231



Enclosed herewith is a new patent application and the following papers:

Named Inventors (or application identifier): Marc van Heyningen and Rodger Erickson

Title of Invention: METHOD AND APPARATUS FOR PROVIDING SECURE STREAMING  
DATA TRANSMISSION FACILITES USING UNRELIABLE  
PROTOCOLS

1. ☒ Specification 45 pages (including spec, claims, abstract) / 46 claims (3 independent)
2. ☒ Declaration/Power of Attorney is:  
☐ attached in the regular manner.  
☒ NOT included, but deferred under 37 C.F.R. 1.53(f).
3. ☒ 15 Distinct sheets of ☐ Formal ☒ Informal Drawings
4. ☐ Preliminary Amendment.
5. ☐ Information Disclosure Statement  
☐ Form 1449  
☐ A copy of each cited prior art reference
6. ☐ Assignment with Cover Sheet.
7. ☐ Priority is hereby claimed under 35 U.S.C. 119(e) based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)

8. ☐ Priority document(s).
9. ☒ Claiming Small Entity Status.
10. ☐ Microfiche Computer Program (Appendix).
11. ☐ Nucleotide and/or Amino Acid Sequence Submission.  
☐ Computer Readable Copy.  
☐ Paper Copy (identical to computer copy).

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12. Calculation of Fees:

FEES FOR	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R. 1.16(a))			\$710.00
Total Claims in Excess of 20 (37 C.F.R. 1.16(c))	26	18.00	\$468.00
Independent Claims in Excess of 3 (37 C.F.R. 1.16(b))	0	80.00	\$0.00
Multiple Dependent Claims (37 C.F.R. 1.16(d))	0	130.00	\$0.00
Subtotal - Filing Fee Due			\$1178.00
	REDUCE BY (%)   (\$)		
Reduction by 50%, if Small Entity (37 C.F.R. 1.9, 1.27, 1.28)	50% reduction		\$589.00
<b>TOTAL FILING FEE DUE</b>			<b>\$589.00</b>
Assignment Recordation Fee (if applicable) (37 C.F.R. 1.21(h))	0	40.00	\$0.00
<b>GRAND TOTAL DUE</b>			<b>\$589.00</b>

13. PAYMENT is:

- ☐ included in the amount of the GRAND TOTAL by our enclosed check. A general authorization under 37 C.F.R. 1.25(b), second sentence, is hereby given to credit or debit our Deposit Account No. 19-0733 for the instant filing and for any other fees during the pendency of this application under 37 C.F.R. 1.16, 1.17 and 1.18.
- ☒ not included, but deferred under 37 C.F.R. 1.53(f).

14. All correspondence for the attached application should be directed to:

Banner & Witcoff, Ltd.  
1001 G Street, N.W.  
Washington, D. C. 20001-4597  
Telephone: (202) 508-9100  
Facsimile: (202) 508-9299

15. Other: \_\_\_\_\_

Date: February 13, 2001

By: Thomas L. Evans  
Thomas L. Evans  
Reg. No. 35,805

BCW/pp